

In re Patent Application of KANDA, et al.  
Serial No. 09/971,773  
Filed: October 9, 2001  
Title: ANTIBODY COMPOSITION-PRODUCING CELL

Atty Dkt. 249-202  
C# M#  
Group Art Unit: 1632  
Examiner: Li  
Date: December 8, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RESPONSE/AMENDMENT/LETTER**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

☐ **Correspondence Address Indication Form Attached.**

**Fees are attached as calculated below:**

Total effective claims after amendment 72 minus highest number  
previously paid for 69 (at least 20) = 3 x \$ 18.00 \$ 54.00

Independent claims after amendment 7 minus highest number  
previously paid for 7 (at least 3) = 0 x \$ 86.00 \$ 0.00

If proper multiple dependent claims now added for first time, add \$290.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months) \$ 110.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$770.00) \$ 0.00

☐ Please enter the previously unentered , filed

☐ Submission attached

**Subtotal \$ 164.00**

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: Copy of Notice of Non-Compliant Amendment (Voluntary Revised Practice) mailed 10/7/03 0.00

**TOTAL FEE ENCLOSED \$ 164.00**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
BJS:plb

NIXON & VANDERHYTE P.C.  
By Atty: B. J. Sadoff, Reg. No. 36,663

Signature: \_\_\_\_\_



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20503

**COPY**

Paper No.



## Notice of Non-Compliant Amendment (Voluntary Revised Practice)

The amendment filed 9-17-03 under the voluntary revised amendment practice guidelines<sup>1</sup>, published in the Official Gazette on February 25, 2003 (*Amendments in a Revised Format Now Permitted*, 1267 Off. Gazette 106), does not fully comply with minimal requirements of the voluntary practice. In order for the amendment to be entered, it must either (1) comply with the guidelines of the voluntary revised amendment practice (which practice invokes waivers of certain 37 CFR 1.121(a)-(d) requirements) or (2) comply with current 37 CFR 1.121 requirements.

THE FOLLOWING ITEM(S) IN APPLICANT'S AMENDMENT CAUSES THE AMENDMENT TO BE NON-COMPLIANT WITH THE VOLUNTARY REVISED AMENDMENT PRACTICE.

- ☒ 1. A complete listing of all of the claims is not present in the amendment paper.
- ☒ 2. The listing of claims does not include the text of all claims currently under examination.
- ☐ 3. The claims of this amendment paper have not been presented in ascending numerical order.
- ☒ 4. Each claim has not been provided with a status identifier, and, as such, the individual status of each claim cannot be determined.
- ☐ 5. Other: \_\_\_\_\_

LIE: Check one of the following boxes:

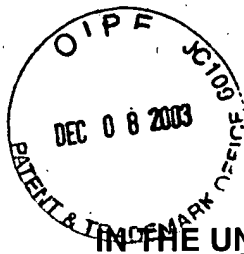
- ☐ **PRELIMINARY AMENDMENT:** Applicant is given ONE MONTH from the mail date of this letter to re-submit the amendment in compliance with either the guidelines of the revised amendment practice or current 37 CFR 1.121. Failure to comply with either the current 37 CFR 1.121 practice or with the voluntary practice will result in non-entry of the amendment and examination on the merits will commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- ☒ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above-mentioned reply appears to be a *bona fide* response, applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit an amendment which complies with either the voluntary practice guidelines or current 37 CFR 1.121 in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).

| Signed by Team Leader |

Team Leader

*[Signature]*

<sup>1</sup> For further explanation of the guidelines of the revised amendment format, please see the posted notice and sample amendment format at [http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice\\_officetlyver.pdf](http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice_officetlyver.pdf) and [http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice\\_formatrevandtpac.pdf](http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice_formatrevandtpac.pdf)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

KANDA, et al.

Appl. No. 09/971,773

Filed: October 9, 2001

For: ANTIBODY COMPOSITION-PRODUCING CELL

Confirmation No. 2525

Atty. Ref.: 249-202

Group: 1632

Examiner: Li

\* \* \* \* \*

Monday, December 8, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT**

This is in response to the Office Action dated June 17, 2003, and the Communication dated October 7, 2003 (Notice of Non-Compliant Amendment (Voluntary Revised Practice – copy attached), the period for response having been extended up to Monday, December 8, 2003, by submission of the required petition and fee herewith. Kindly amend the above-identified application as follows.

12/10/2003 CCHAU1 00000058 09971773

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